

# VIET NGA CAO (Ms.)

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## EDUCATION

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- 2006 – present      PhD in Finance (ongoing), Durham Business School, Durham University, U.K. (expected completion 2009).
- 2004 – 2005      MSc in Finance and Investment, Durham Business School, Durham University, U.K.
- 1998 - 2000      Bachelor of Business (Banking and Finance), Monash University, Australia.

## RESEARCH INTERESTS

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Investment Styles, Asset Pricing Anomalies, Corporate Finance.

## WORKING PAPERS AND WORK – IN – PROGRESS

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“Value versus growth – the sources of return differences”.

“Firms’ investment decision and the momentum effect”.

## CONFERENCE AND SEMINAR PRESENTATION

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“Value versus growth – the sources of return differences

To be presented at:

the Merton Miller Doctoral Seminar as part of the Annual Meeting of the European Financial Management Association, Athens, June 2008.

the 4<sup>th</sup> Annual Doctoral Colloquium of the Northern Advanced Research Training Initiative, Durham, July 2008.

## WORKSHOP ATTENDANCE

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ESRC Advanced Training Programme in Corporate Finance

Funded and organized by the Economic and Social Research Council, U.K., the Northern Advanced Research Training Initiative, and the University of Exeter, U.K. December 2007.

SAS Programming II: Manipulating data with the DATA step

SAS Institute, Singapore, August 2007.

## HONOURS AND AWARDS

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- 2006 – 2009      Awarded Doctoral Fellowship  
20 Doctoral Fellowships are awarded each year by Durham University to outstanding new PhD candidates across all

disciplines on the basis of research potential and academic merits.

- 2004 – 2005      Awarded Chevening Scholarship  
150 scholarships are awarded each year by the Foreign and Commonwealth Office, U.K., to sponsor talented graduates and young professionals world wide to study in the U.K. About 15 scholarships are awarded to Vietnamese candidates each year.  
Offered Dean Scholarship \*  
Departmental award for MSc students wishing to undertake PhD in the Department of Economics and Finance, Durham Business School, Durham University.
- 1998              Awarded Australia Development Scholarship  
60 scholarships were awarded during the year to outstanding Vietnamese undergraduate students to study in Australia.  
Awarded “Top Third Year Student, Bachelor of Business (Banking and Finance)”.  
Awarded “Toronto Dominion Ltd Prize for top student in Futures and Options Markets”.  
Offered Sir John Monash Award for Excellence to undertake Honour’s Year. \*  
Awarded Membership of the Golden Key National Honours Society.
- 1996              Ranked second in Hanoi National Economic University Entrance Examination (out of ~5000)
- 1995              Third prize, National Chemistry Competition for Year 12

#### EMPLOYMENT HISTORY

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- 2005 – 2006      Investment Analyst, Vietcombank Fund Management, Vietnam  
Responsible for scanning investment opportunities, conducting due diligence, valuation of target, recommending buying decision, bidding price and exit strategies.  
Participating in weekly and ad hoc meetings of investment team to present investment proposals and contribute opinions to investment proposals of other team members.  
Other responsibilities include (1) training valuation techniques for new colleagues, and (2) training and supervising due diligence procedure for staff of parent bank to work in a major due diligence project.  
Promoted to Investment Manager prior to leaving to undertake PhD.
- 2003 – 2004      Consultant, Financial Advisory Services, KPMG Vietnam  
Responsible for participating in consultancy projects, including

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\* Offers were not taken due to personal circumstances.

the diagnostic review of one of the big four State-owned commercial banks. The project was jointly carried out with KPMG Sydney.

Other responsibilities include (1) training and supervising interns to work in support roles, and (2) coordinating between offices and between departments for inter-office and inter-departmental projects.

2001 – 2003

Auditor, Financial Services Group, KPMG Vietnam

Responsible for (1) reviewing various processes of commercial banks, including Retail banking, Credit, Treasury, Trade Finance and (2) performing analytical review of banks' financial statements.

Other responsibilities include on-the-job training of junior staff and administrative tasks.

#### PROFESSIONAL QUALIFICATIONS

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CFA Examinations leading to the award of the CFA designation by the CFA Institute

Passed Level 1 Examination in June 2004 and Level 2 Examination in June 2007

#### REFERENCES

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